

# Briefing Session on the Use of integrated Court Case Management System

#### **Presenters:**

#### Mr. Tsang Hong Kin, Kenneth

Senior Judiciary Executive (Information Technology Office)1
The Judiciary

#### Mr. Kwok Wai Hung, Gary

Systems Manager (Information Technology Office)1
The Judiciary

**Hong Kong Academy of Law** 

Thursday, 3 October 2024 (4:00 pm – 5:00 pm)

#### Disclaimer

This course is for educational purposes only. Any opinions, findings, recommendations or conclusions expressed in this course or the course materials reflect the personal opinions of the presenter(s) only and nothing contained therein shall be construed as giving legal advice to the public. Nor does any content or materials of the course represent the views or stance of the Hong Kong Academy of Law Limited ("Academy") or the Law Society of Hong Kong ("Society"). The Academy, the Society and the presenter(s) shall not be held responsible for any responsibility or liability whatsoever in respect of the content or materials of the course, and disclaim all responsibility or liability whatsoever in respect of the content and materials of the course and any consequences that may arise from any person acting or refraining from action as a result of the content or materials of the course.

The copyright of the course materials is reserved. Copying, distribution, reproduction or re-transmission of any of the contents of the course materials for the purpose other than attending the course without the consent of the presenter(s) and the Academy is prohibited.



# Briefing Session on the Use of the integrated Court Case Management System ("iCMS")

#### **3 October 2024**

Agenda

- 1. Brief introduction of the iCMS
- 2. New filing requirement upon rollout of iCMS external functions for the High Court ("HC") selected case types
- 3. Brief introduction of the Judiciary's dedicated webpage on e-Courts
- 4. Walkthrough on key iCMS external functions
- 5. Q&A session
- 6. Hands-on practice session (face-to-face mode only)

## 1. Brief introduction of the iCMS

#### The iCMS

- The Court Proceedings (Electronic Technology) Ordinance (Cap. 638) came into effect on 1 October 2021
- A web-based e-system developed by the Judiciary and designated by the Chief Justice to facilitate the use of electronic technology in the e-Courts
- An additional option to the existing channels for transmission of documents on court cases
- Our ultimate goal is to use the iCMS for replacement of the conventional paper mode as the primary litigation system. The Judiciary intends to start mandating the use of the iCMS for all legally represented litigants in respect of the relevant case types as from 2026, unless otherwise exempted in particular circumstances to safeguard the right to access in courts

## Advantages of using the iCMS

- > Provide flexibility of conducting electronic transactions with the Court anytime and anywhere
- Save cost and promote efficiency such as saving the printing cost and travelling time and expenses
- > Save manpower and facilitate better deployment of resources
- Enjoy 20% fee concession on fee items which are primarily or directly related to electronic handling of court documents
- Promote environmentally friendliness

# **Key electronic services under the iCMS**

- Send and receive court documents
- Inspect or search filed documents
- Search cause books
- Make electronic payments different electronic payment options:
  - (1) Online credit cards
  - (2) PPS Shop&Buy Service ("PPSB")
  - (3) Deposit Account (new electronic payment option)

#### **Deposit Account**

- Deposit Account will be introduced for iCMS Organisation Accounts upon the rollout of iCMS external functions for HC
- > Organisation users can, on a voluntary basis, pay a non-interest bearing prepayment at or above a specified minimum amount to the Deposit Account for settling subsequent payments for transactions under the iCMS
- The deposit can be paid (i) online (by credit card or PPSB) or (ii) over the counters (for cheque payment)
- > Details of the Deposit Account will be provided at the dedicated webpage on e-Courts nearer the time of implementation

### Registration

- Registration for iCMS accounts to use its full services
- Unregistered users may only use certain services, e.g. search electronic documents that may be inspected by the public
- Who can use the iCMS
- Registration is free of charge

#### Types of iCMS User Accounts

- Individual User Account
- 2. Organisation Account
  - Primary Administrator ("PA") Account
  - Secondary Administrator ("SA") Account
  - Organisation User ("OU") Account

### **Phased implementation**

- > Stage 1
  - District Court civil proceedings incrementally from May 2022
  - Summons Courts of the Magistrates' Courts ("MCs") since December 2022
- > Stage 2
  - Court of Final Appeal, HC, remaining part of MCs and Small Claims Tribunal incrementally from Q4 2024

## Overview on implementation of iCMS external functions for HC

- > iCMS external functions for HC are targeted for production launch by phases starting from end-2024 tentatively 30 June 2025
- We will implement the following 11 selected case types at this stage
  - Civil Appeal ("CACV")
  - Commercial Action ("HCCL")
  - Intellectual Property Case ("HCIP")
  - Construction and Arbitration Proceedings ("HCCT")
  - Personal Injuries Action ("HCPI")
  - Civil Action ("HCA")

## Overview on implementation of iCMS external functions for HC

- Probate Action ("HCAP")
- Miscellaneous Proceedings (Civil Appeal) ("CAMP")
- Magistracy Appeal ("HCMA")
- Miscellaneous Proceedings (Criminal) ("HCCP")
- Intended Action ("HCZZ")

2. New filing requirement upon rollout of iCMS external functions for HC selected case types

## New filing requirement at the registries

- The e-Practice Direction for HC will direct a party who files or submits a document in the **conventional mode** to provide to the Court at the time of making the filing or submission an electronic copy of the document in USB mass storage devices or portable hard disk with USB interface
- Parties including law firms are required to produce electronic copy for subsequent lodgings/communications with the Court, for HC case types that are already iCMS-enabled

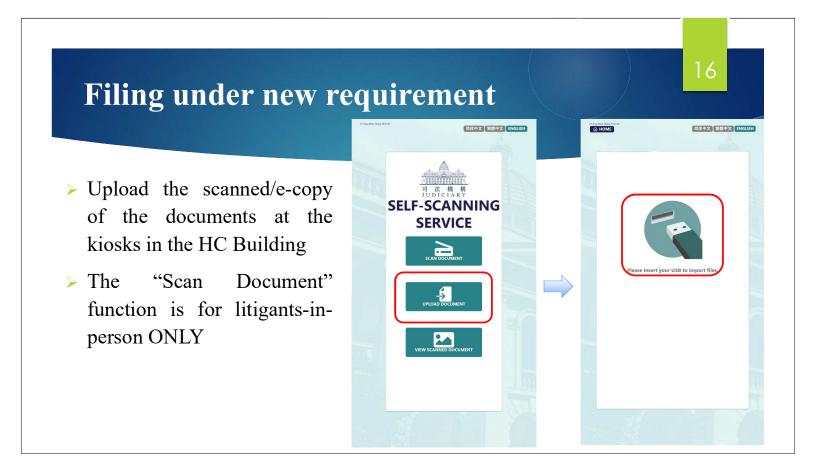
## Filing under new requirement

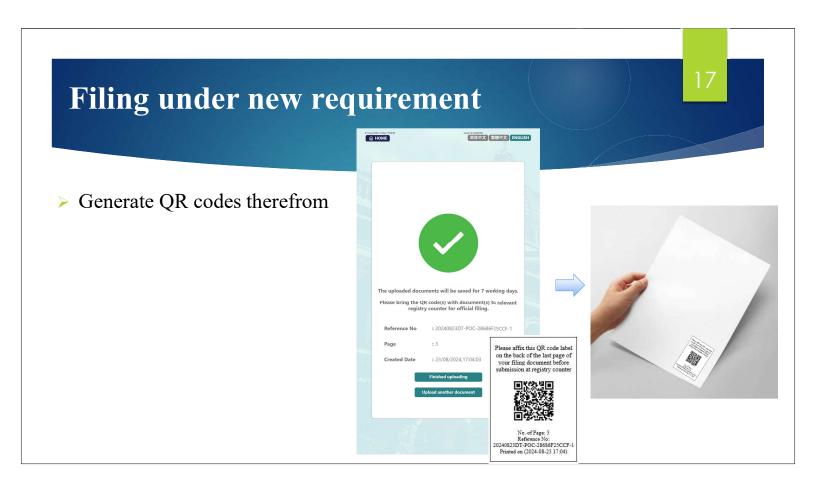
Legal representatives will bring USB mass storage devices or portable hard disk with USB interface containing the scanned/e-copy of the documents











## Filing under new requirement

> File/submit both the physical and scanned/e-copy of documents at the relevant registry







### Filing under new requirement

#### Points to note:

- > The scanned/e-copy of documents must be identical with the physical documents
- > Filers have to visit other offices as appropriate before scanning the originating documents, e.g.
  - Accounts Office (franking payments)
  - Listing Office (scheduling hearings)
  - Oaths and Declarations Office (administering affidavits/affirmations),
     etc.

#### Filing under new requirement

20

#### Points to note:

Legal representatives will likely have to return to their office for scanning the franked/signed originating documents and saving the images into the USB devices before uploading them via the kiosks. They have to come to HC **twice** before formal filing. Law firms are therefore **encouraged to use the iCMS** instead of the conventional mode

#### Appeal for support

- Appeal law firms to register for the iCMS Organisation Accounts as early as possible (if not yet done so) and make wider use of the iCMS for different electronic services, in particular following the rollout of iCMS external functions for selected case types of HC
- > To familiarise with the electronic mode as soon as possible to prepare for the mandatory use of the iCMS starting from 2026

## Tips on using the iCMS

- Q1: The originating document should be signed by an OU Account holder who is a solicitor. How can his/her clerk assist in preparing the document via the iCMS?
- A1: The OU (clerk) can input the case details and use the save draft function. The OU (solicitor) can retrieve the document and perform the e-signing by typing his/her name for e-filing.
- Q2: If the PA is the only solicitor of the law firm, how can he/she perform electronic filing to the Court?
- A2: An OU Account can be created for the PA to perform e-filing.

## Tips on using the iCMS

- Q3: Upon the rollout of iCMS external functions for HC selected case types, iCMS will provide the new function for generating originating document. After signing the document by the solicitor, how can he/she settle the payment for completing the electronic filing process?
- A3: It is recommended that the solicitor who serves as an OU Account holder to settle the payment through the new payment option of Deposit Account which should be the most convenient means of completing the electronic filing process.

### Tips on using the iCMS

- Q4: When conducting e-filing to an existing case, e.g. consent summons, do all parties have to conduct joint signing via the iCMS?
- A4: No. As e-filing to an existing case is to upload the document, the consent summons must be signed by all parties before the document is scanned and uploaded to the iCMS.
- Q5: For Legal Aid cases, whether the payment part will be skipped?
- A5: Yes.
- Q6: Is sanctioned payment accepted in the iCMS?
- A6: Sanctioned payment is not accepted via iCMS e-payment.

3. Brief introduction of Judiciary's dedicated webpage on e-Courts

## Dedicated webpage on Judiciary's website

26

https://www.judiciary.hk/en/e courts/index.html



### Dedicated webpage on Judiciary's website



## Dedicated webpage on Judiciary's website

About Us

e-Courts **Court Security** Court Diary

Information

Back

11 September 2024 , Wednesday , HKT 15:42 | AAA | RSS | Search | 数 简 司 法 機 構 JUDICIARY e-Courts > Reference Materials **Reference Materials** Court Services & Facilities Legislation · e-Practice Direction · User Guides · Demonstration Video Clips Judgments & Legal Reference · Frequently Asked Questions Users Sharing

28

Тор

#### Useful information

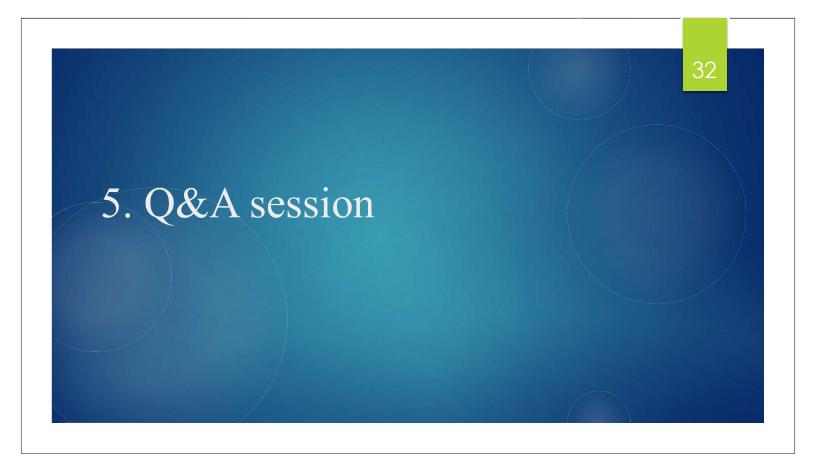
- Legislation, e-Practice Direction, administrative instructions, implementation notices, leaflets, user guides, demonstration video clips, frequently asked questions, users sharing, list of law firms registered for iCMS account, etc.
- Help and support services, including technical and general hotlines and Help Centre

# List of law firms registered for iCMS account

30

We have promulgated the list of registered law firms for iCMS Organisation Accounts since December 2023 with a view to encouraging registration from the legal profession





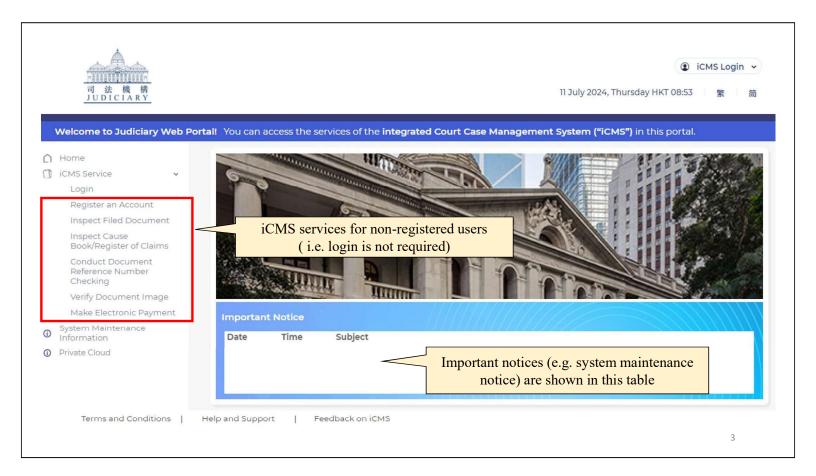
## 6. Hands-on practice session

34

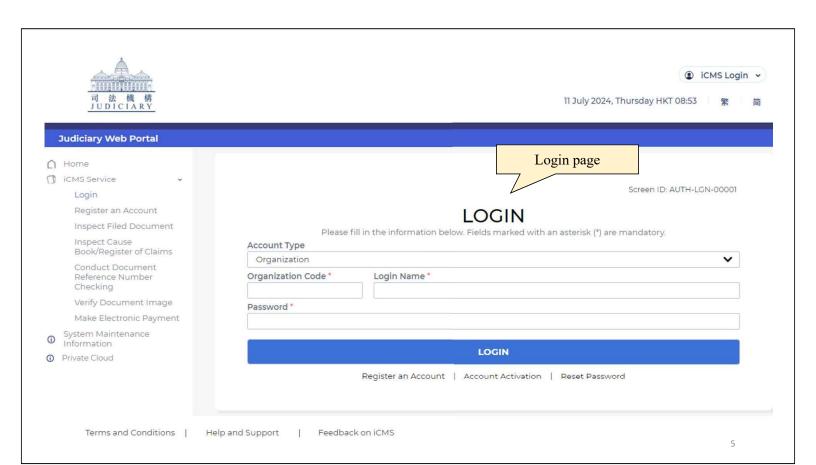
## THE END

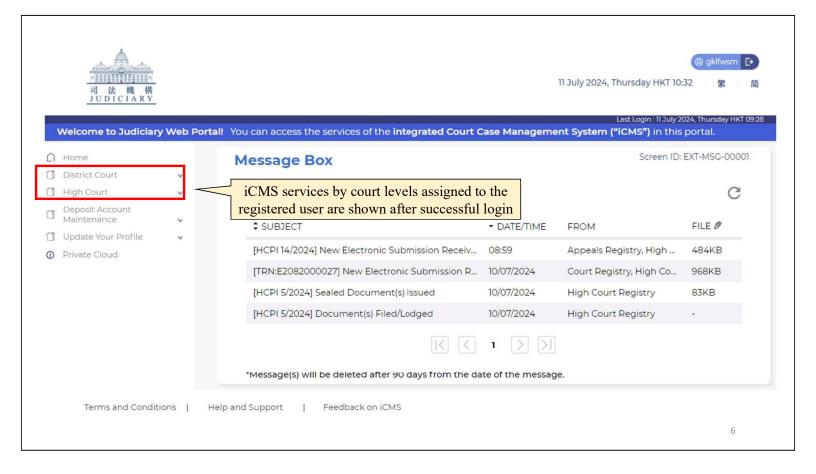
## Walkthrough on Key iCMS External Functions

**Judiciary Web Portal** 













11 July 2024, Thursday HKT 10:32

繁

Welcome to Judiciary Web Portal! You can access the services of the integrated Court Case Management System ("iCMS") in this portal.

Home
District Court
High Court

Expand to view the functions of

☐ Home
☐ District Court
☐ High Court
☐ Electronic Filing
☐ Send Document(s)
☐ Inspection of Document
☐ Bailiff Related Services
☐ Certification of
☐ Translation Service
☐ Other Electronic Services
☐ Make Electronic Payment
☐ Deposit Account
☐ Maintenance
☐ Update Your Profile

Terms and Conditions |

Terms and Conditions |

Help and Support

Help and Support

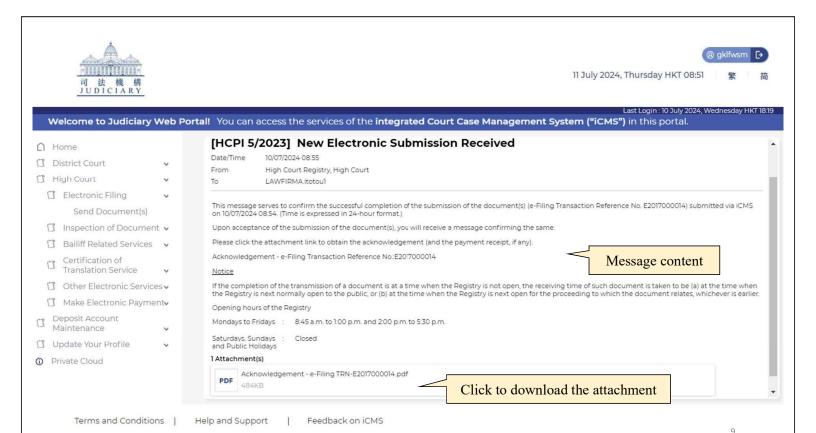
Private Cloud

Message Box Expand to view the functions of the selected court level **▼** DATE/TIME FROM FILE @ [HCPI 14/2024] New Electronic Submission Receiv... 08:59 Appeals Registry, High ... 484KB [TRN:E2082000027] New Electronic Submission R... 10/07/2024 Court Registry, High Co... 968KB [HCPI 5/2024] Sealed Document(s) Issued 10/07/2024 High Court Registry 83KB High Court Registry [HCPI 5/2024] Document(s) Filed/Lodged 10/07/2024 \*Message(s) will be deleted after 90 days from the date of the message.

11 July 2024, Thursday HKT 10:32 Messages sent to the registered user from the Judiciary Welcome to Judiciary Web Portal! You can access the services of the integrated Court Case Management System ("iCMS") in this portal. ∩ Home Screen ID: EXT-MSG-00001 **Message Box** District Court High Court All Read Unread C ☐ Electronic Filing Send Document(s) FILE 0 **\$ SUBJECT ▼** DATE/TIME FROM ☐ Inspection of Document ∨ [HCPI 14/2024] New Electronic Submission Receiv... 08:59 Appeals Registry, High ... 484KB ☐ Bailiff Related Services [TRN:E2082000027] New Electronic Submission R... 10/07/2024 Court Registry, High Co... 968KB Certification of Translation Service [HCPI 5/2024] Sealed Document(s) Issued 10/07/2024 High Court Registry 83KB Other Electronic Services > 10/07/2024 High Court Registry [HCPI 5/2024] Document(s) Filed/Lodged ☐ Make Electronic Payment Deposit Account Maintenance ☐ Update Your Profile \*Message(s) will be deleted after 90 days from the date of the message. O Private Cloud

Feedback on iCMS

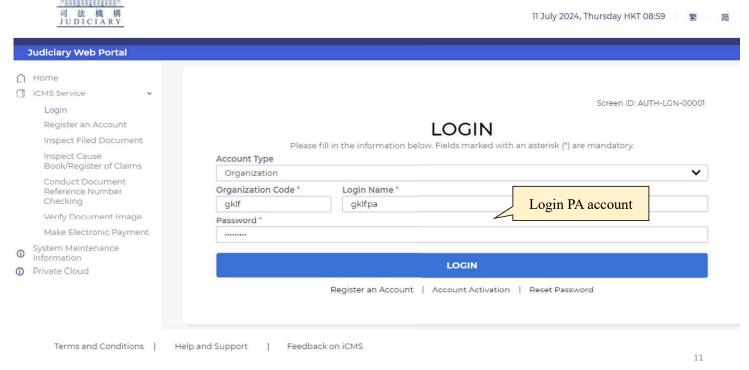
Feedback on iCMS

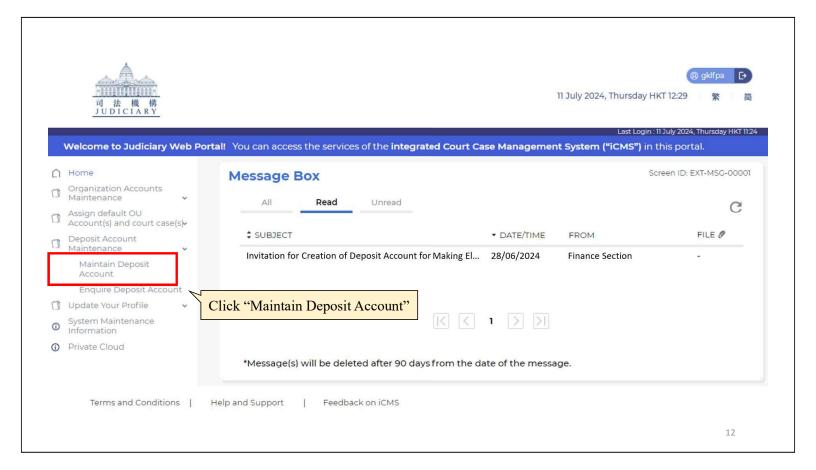


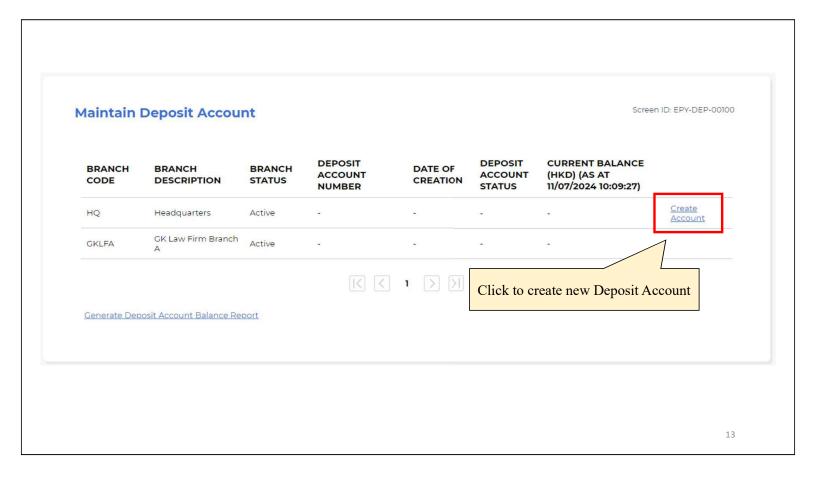
# Deposit Account Creation by Primary Administrator ("PA")

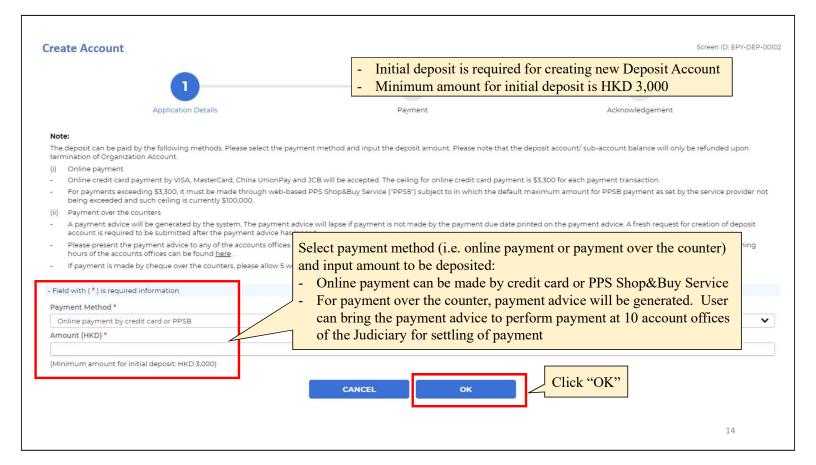
- To be introduced for Organisation Accounts upon the rollout of iCMS external functions for the High Court
- Deposit Account can be used for electronic payment of all case types of e-Courts supported by the iCMS











#### Sample of the payment advice

Payment Advice No.: 缴款通知書編號	DP20240715124511097	
Payment Advice Issue Date: 缴款通知書發出日期	11/07/2024	
Payment Advice Expiry Date: 缴款通知書到期日	10/08/2024	





#### Payment Advice 繳款通知書

#### 繳款項目 Payment Item(s):

Organization Name 機構名稱	Branch Code 分支代碼	Deposit Account/ Sub-Account number 預付款賬戶/ 子賬戶代碼	Payment Code 缴款编碼	Payment Description 繳款説明	Amount(HKD) 金額 (港幣)
GKLF	HQ	GKLF-000	DA1	Initial Deposit 首次預付款	3,500.00
金額總數 Grand Total Amount:			Grand Total Amount:	3,500.00	

Payment details

Judiciary Administration 司法機構政務處

\* If payment is made by cheque, please allow 5 working days for the cheque to be cleared by banks 如以支票付款,請預留5個工作天以便銀行兌現支票。

- Addresses of the 10 account offices of the Judiciary for payment over the counter are shown in the payment advice
- If payment by cash/EPS, Deposit Account will be enabled immediately upon successful completion of payment
- If payment by cheque, Deposit Account will be enabled after cheque has been cleared

#### JUDICIARY

#### PAYMENT METHODS

- cash or cheque or BYS
  mement may be mode personally or by an agent at the Accounts Office of the following courts/ tribunals:
  High Court (LG2/F, High Court Building, 38 Queensway, Hong Kong)
  District Court (G6/F, Manchai Law Courts, Wanchai Tower, 12 Harbour Road, Hong Kong)
  Small Claims Tribunal (3/F, Tower A, West Kowloon Law Courts Building, 501 Tung Chau Street,
  Small D. Forlacon

- Sham Shui Po, Kowloon)

  Bastern Magistratee' Courts (1/F, Eastern Law Courts Building, 29 Tai On Street, Sai Wam Ho, Hong Kong)

  Kowloon City Magistratee' Courts (3/F, Kowloon City Law Courts Building, 147M Argyle Street,

  Kowloon City, Kowloon)
- (6)
- Kowloon City, Kowloon)

  Kwun Tong Magistrates' Courts (2/F, Kwun Tong Law Courts Building, 10 Lei Yue Mun Roed,

  Kwun Tong, Kowloon)

  West Kowloon Magistrates' Courts (3/F, Tower A, West Kowloon Law Courts Building, 501 Tung Chau Street,

  Shan Shui Po, Kowloon)

  Fanling, Magistrates' Courts (2/F, Fanling Law Courts Building, 1 Pik Fung Road, Fanling,

  New Territories)
- Magistrates' Courts (2/F, Shatin Law Courts Building, 1 Yi Ching Lane, Shatin, New Territories n Magistrates' Courts (2/F, Tuen Mun Law Courts Building, 1 Tuen Hi Road, Tuen Mun,

Mondays to Fridays	8:45 a.m. to 1:00 p.m. and 2:00 p.m. to 5:30 p.m.
Saturdays, Sundays and Public	Closed

- he accepted.

  Please note the above navment cannot be made at nost offices or by nost.

  Please note the above navment cannot be made at nost offices or by nost.

  This payment advice will lapse if payment is not made by the payment due date specified in the payment advice. A fresh request needs to be submitted in Integrated Count Case Management System again. Deposit account submacecount balance will only be refunded upon termination of Organization Account.

15

#### If online payment is selected

#### **Online Payment Service**

Screen ID: EPY-SET-0001

Type of Service: Judiciary Online Payment Service Merchant Name: The Judiciary

Deposit Account Transaction Reference No: GKLF-000 Deposit Account Number:

Transaction Date: 09/07/2024 Total Amount:

Payment Method:

R2010000040

HKD 3,000.00



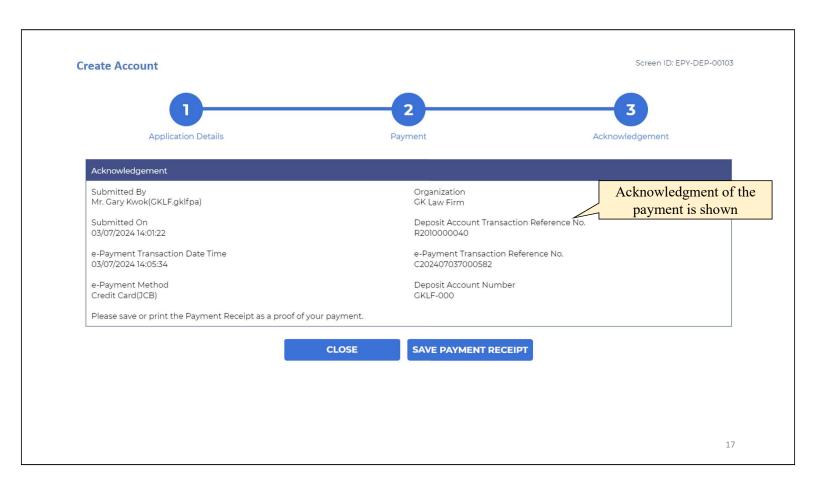


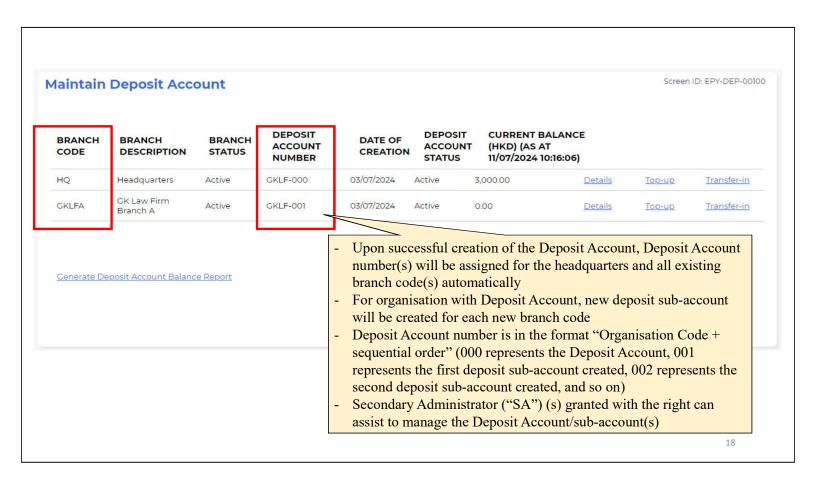
Select payment method and

click "PAY"

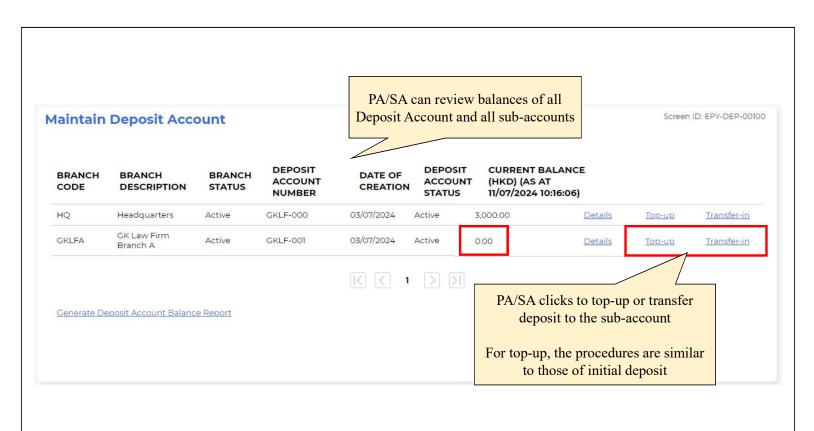
Please take note of the transaction reference number or PRINT the page for enquiry on the payment status when necessary.

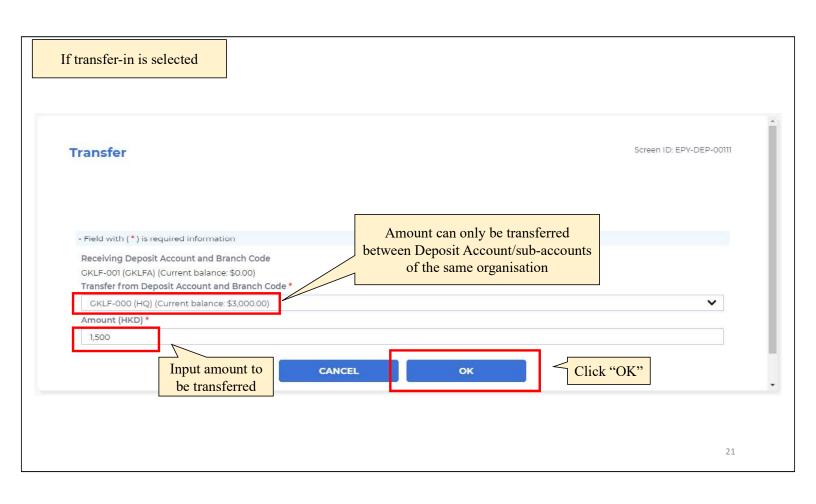
- · After pressing the pay button, please DO NOT leave this page until you are redirected to the acknowledgement page, otherwise your transaction may not be successful.
- · Merchant Name is applicable to credit card payment method only.
- PPS Shop&Buy(PPSB) does not support payment via browsers of mobile devices (including mobile phones and tablets) at the moment. If you wish to pay by PPSB, please change to use desktop computer.
- · Some users may receive an error page or have to wait for several minutes before they get a response from the credit card payment gateway. If you experience such a problem, please wait a moment and retry, or change to use PPSB to settle the payment. We apologise for any inconvenience caused.
- Different credit card issuers may have implemented different mechanisms to authenticate the cardholder's identity during online payment. Please contact your card issuer if you want to learn more about the Verified by Visa and MasterCard SecureCode service.
- For refund of online payment, it will normally be conducted either by way of cheque, bank draft or cash to the appropriate case party or legal representative or, in the case of e-Apostille Service, to the payer/applicant.
- . Deposit account/ sub-account balance will only be refunded upon termination of Organization Account. For refund of online payment by deduction from deposit account/ sub-account, the refund amount will be credited to the same deposit account/ sub-account.



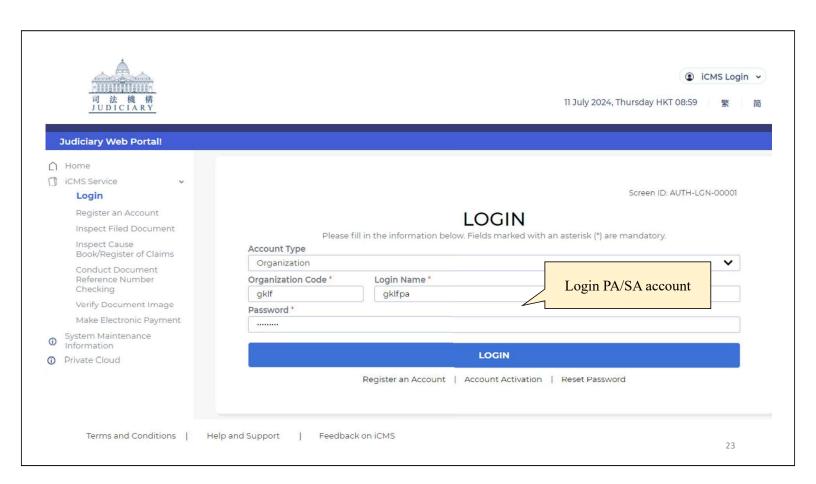


# Transfer Balance between Deposit Account/Sub-Account(s) by PA/SA





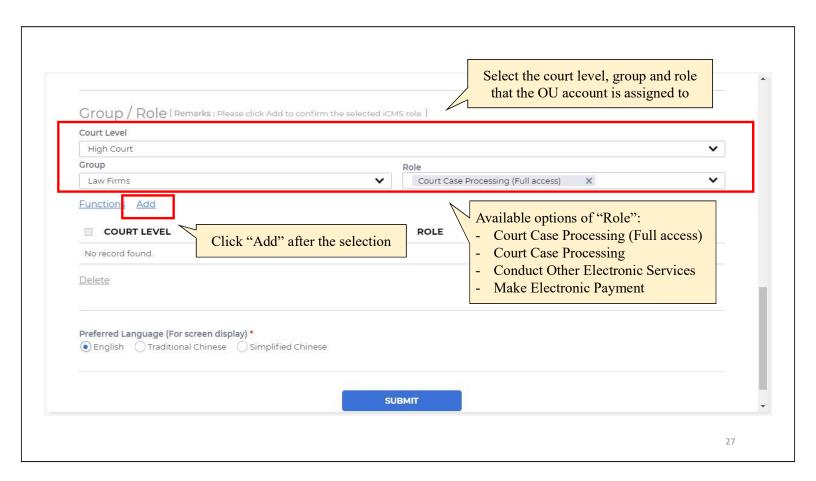
# Create Organisation User ("OU") Account by PA/SA









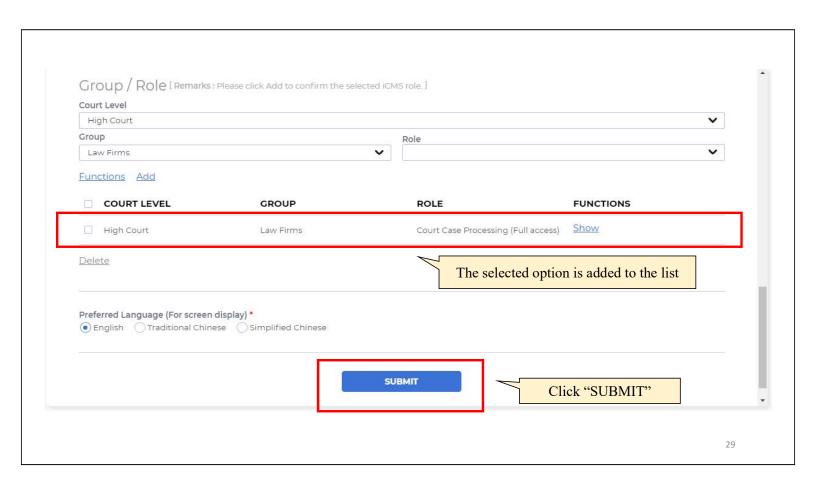


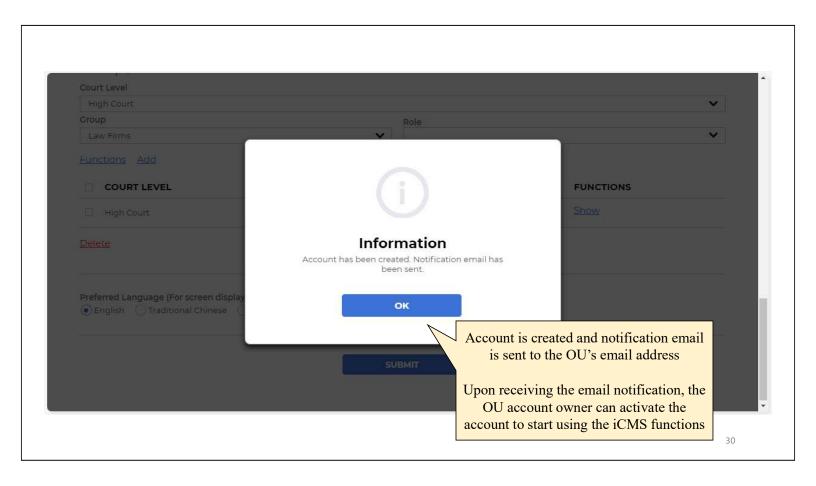
#### Assignment of iCMS functions to roles

Annexes to the Administrative Instructions on Matters relating to Registration as a User of the integrated Court Case Management System

(https://www.judiciary.hk/doc/en/e\_courts/ AI\_RegUser\_iCMS\_Annex\_e.pdf)

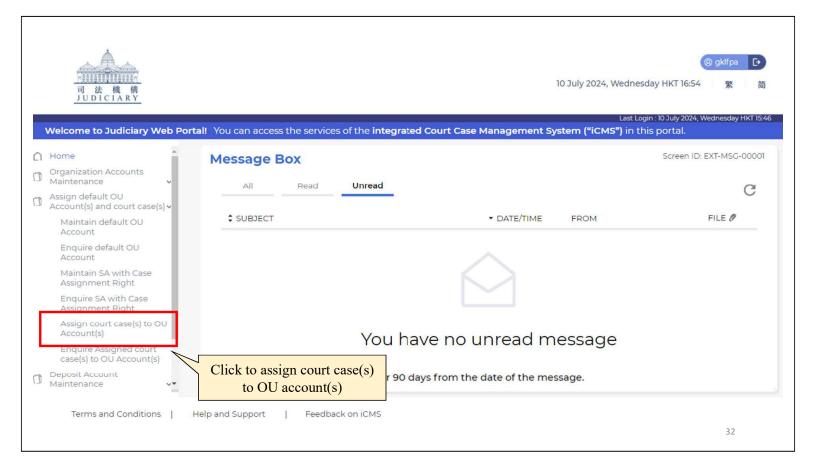
	iCMS Functions				
iCMS Roles	User management related	Court case management related			
OU Account holder: Court case processing (Full access)	maintain OU's personal profile (except branch information)	<ul> <li>send and receive court documents</li> <li>inspect filed documents</li> <li>conduct other electronic services such as apply for certification of translation service</li> <li>make electronic payment</li> </ul>			
OU Account holder: Court case processing	➤ ditto	send and receive court documents     inspect filed documents     conduct limited electronic services such as Document Reference Number checking			
OU Account holder: Conduct other electronic services	> ditto	<ul> <li>inspect filed documents</li> <li>conduct other electronic services such as apply for certification of translation service</li> </ul>			
OU Account holder: Make electronic payment only	≽ ditto	> make electronic payment			

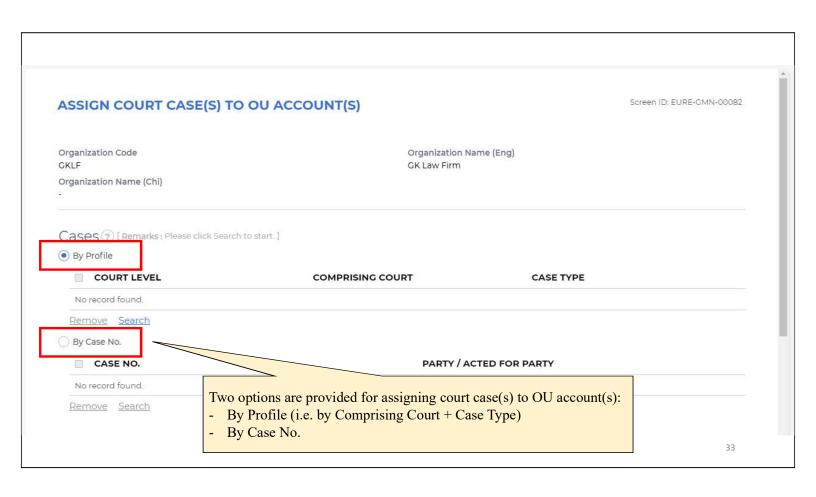


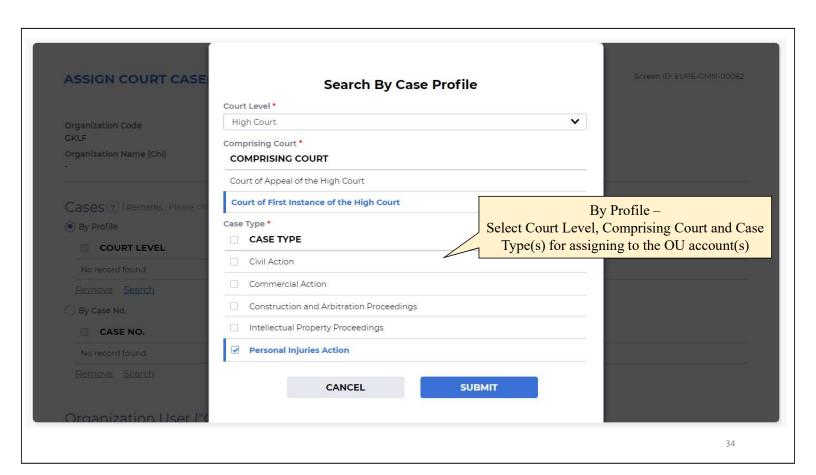


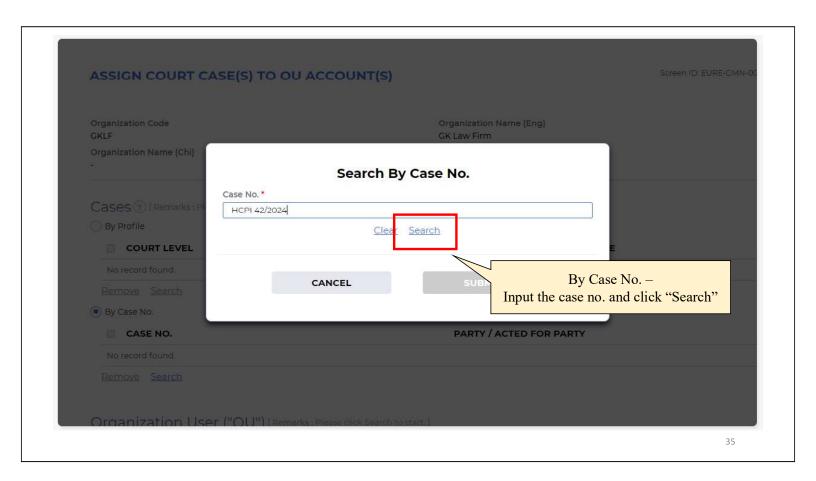
## Assign Case(s) to OU Account(s) by PA/SA

- OU will receive court messages for the assigned case(s)
- OU can conduct daily transactions for the assigned case(s) (e.g. Electronic Filing, Inspect Filed Document)





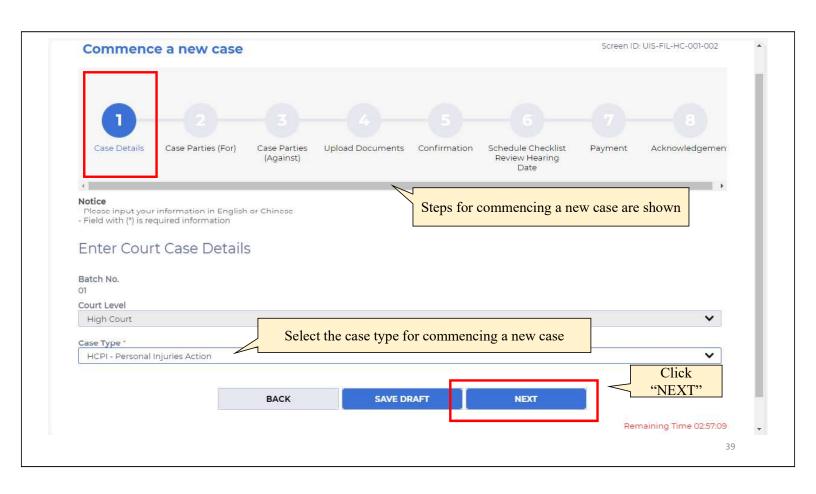


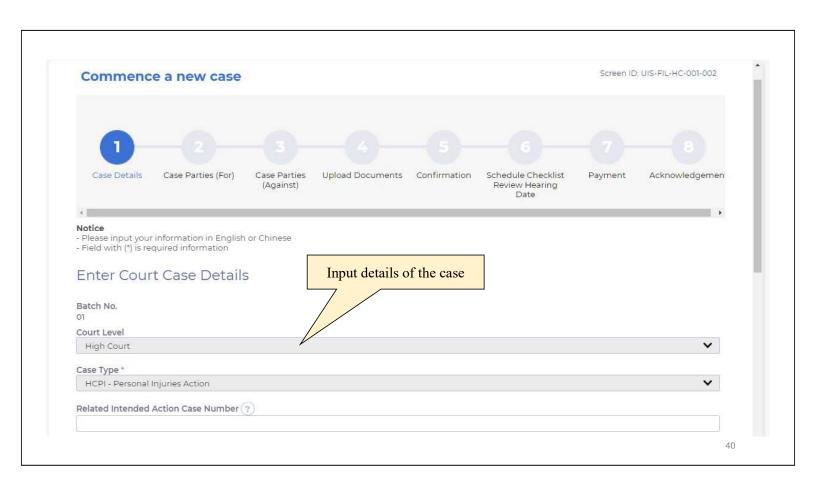


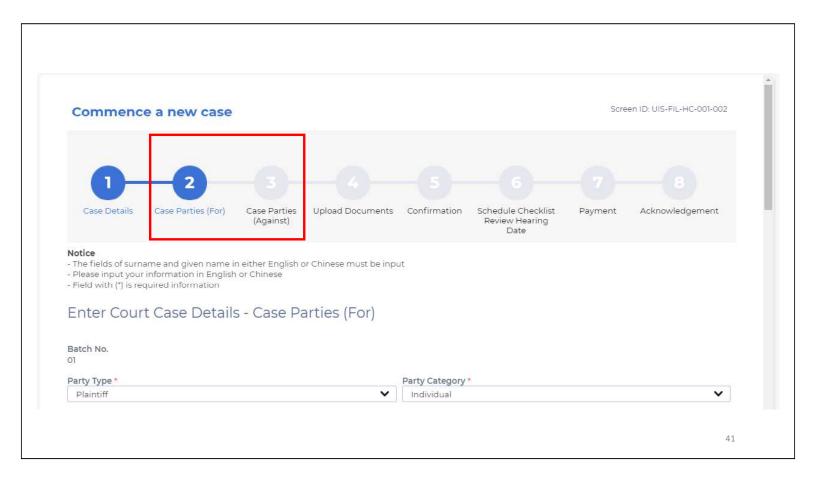
# Electronic Filing – Commence a New Case by OU

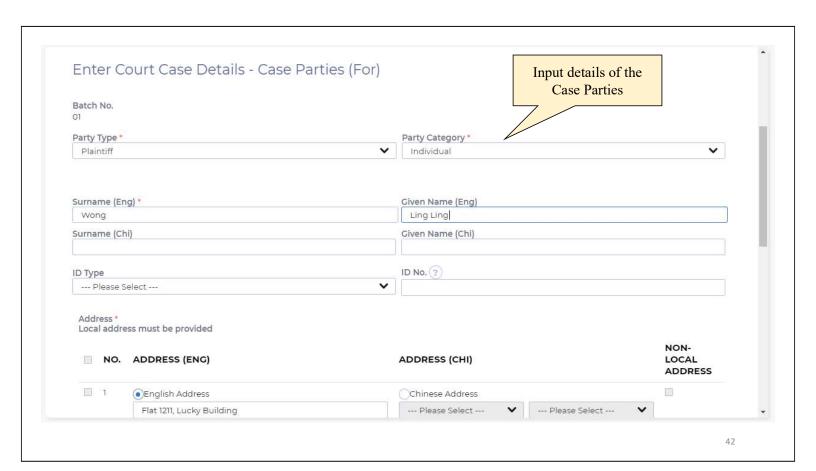


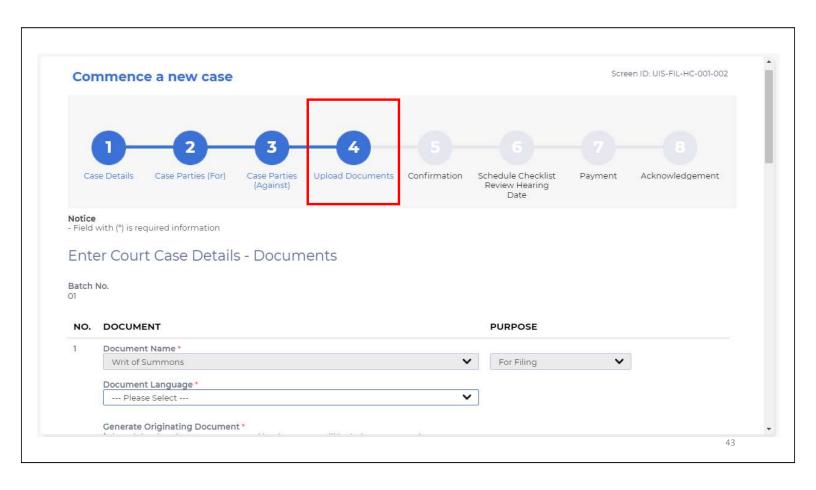


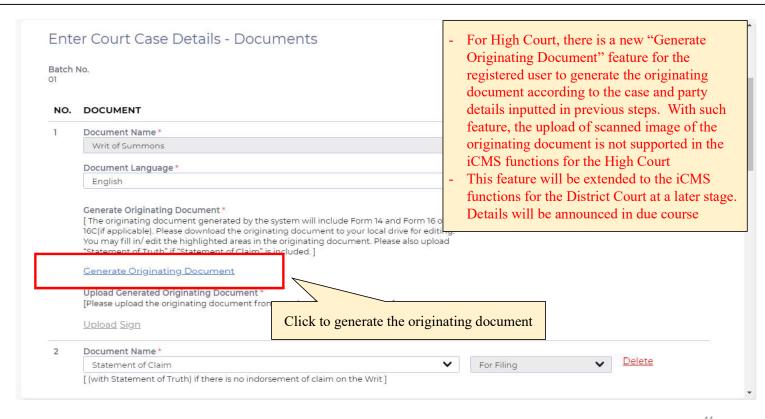


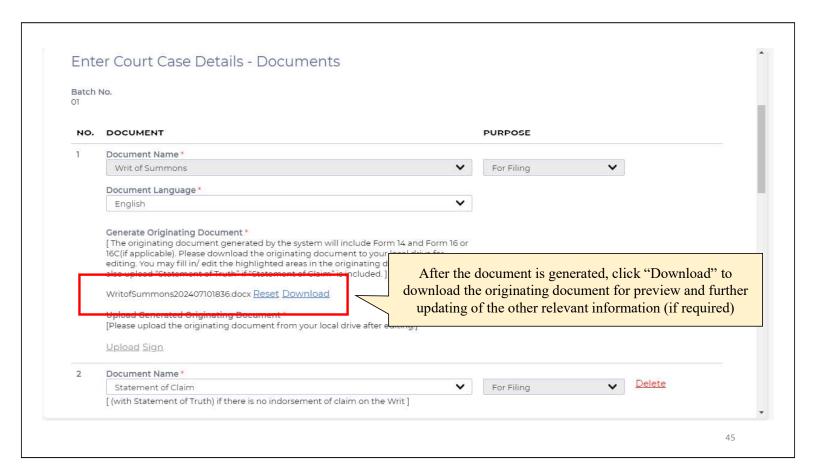


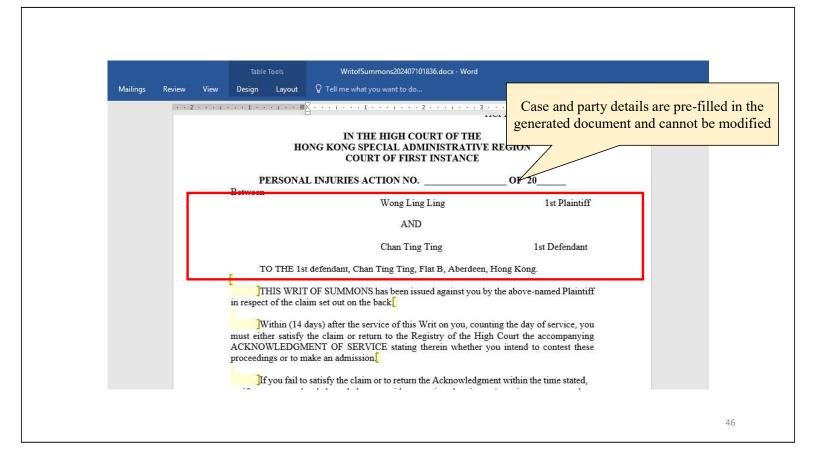


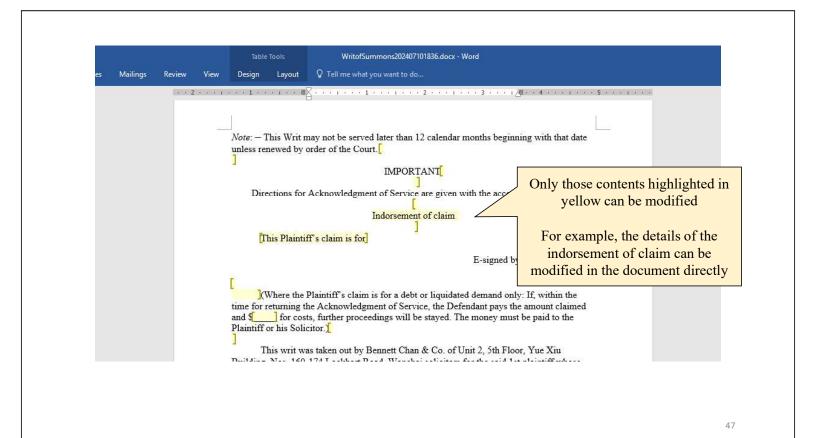


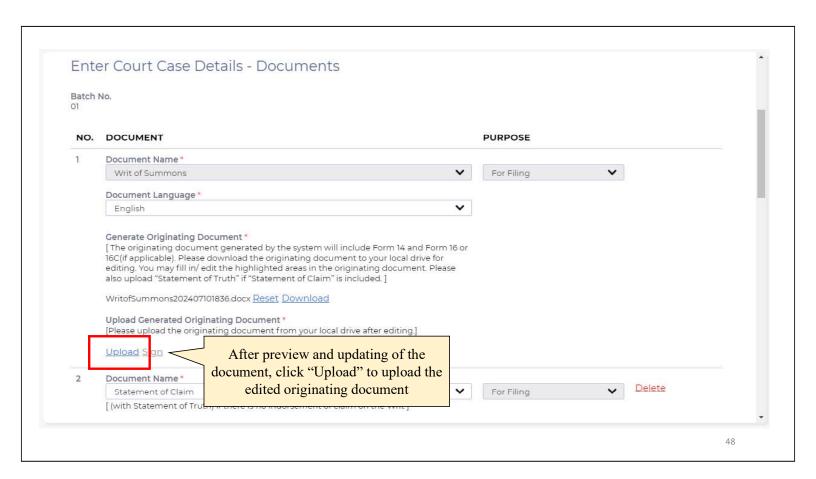


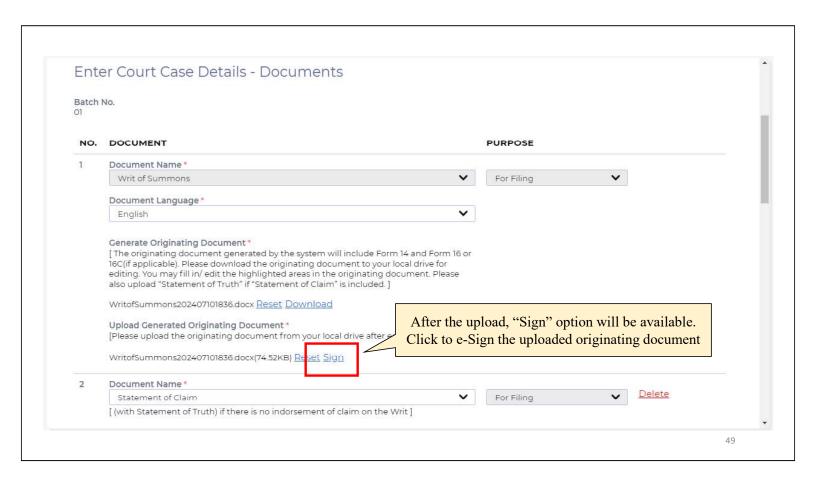


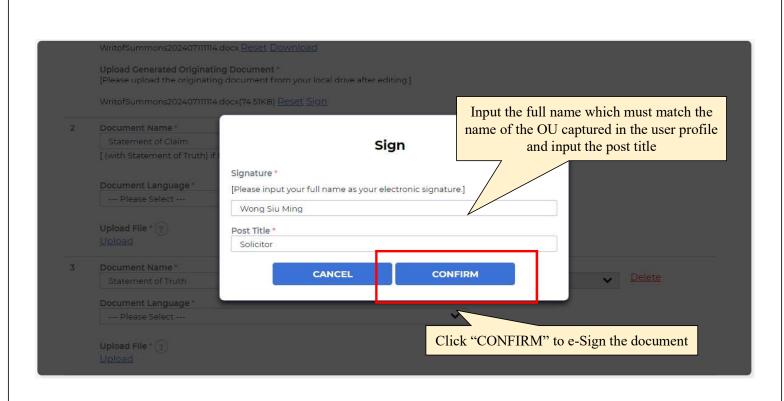


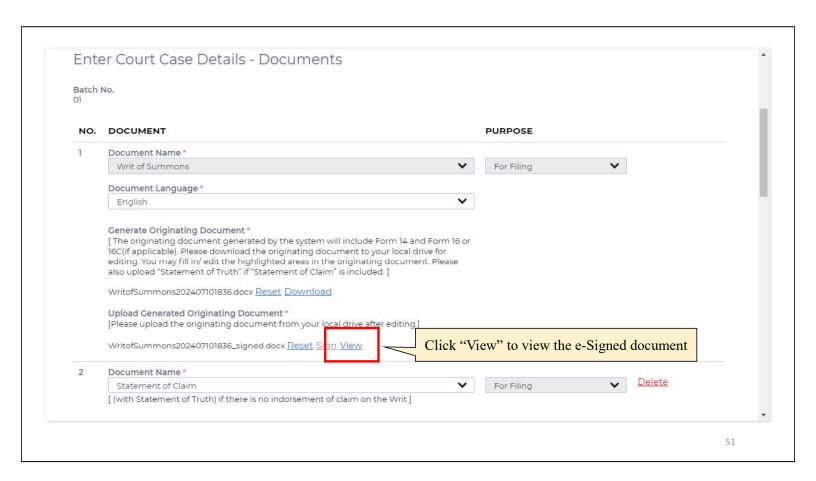






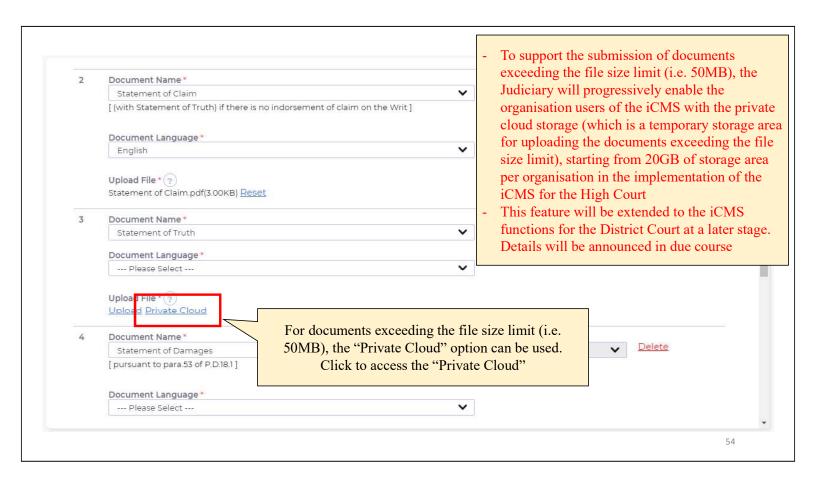


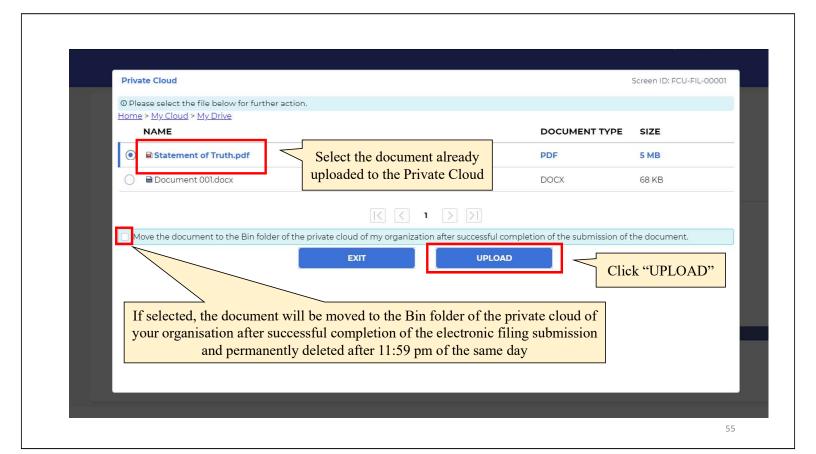


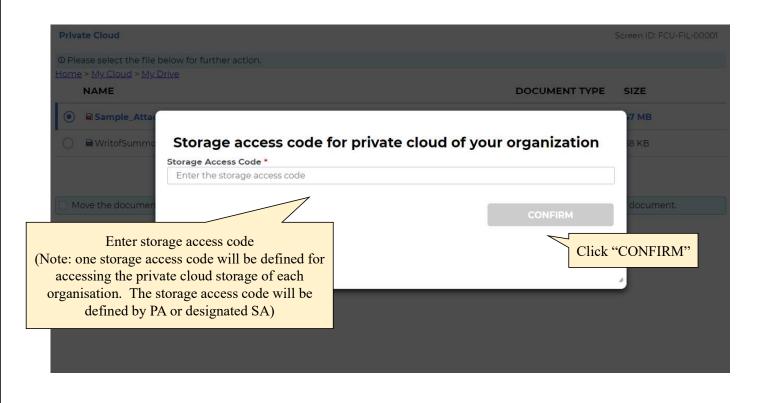




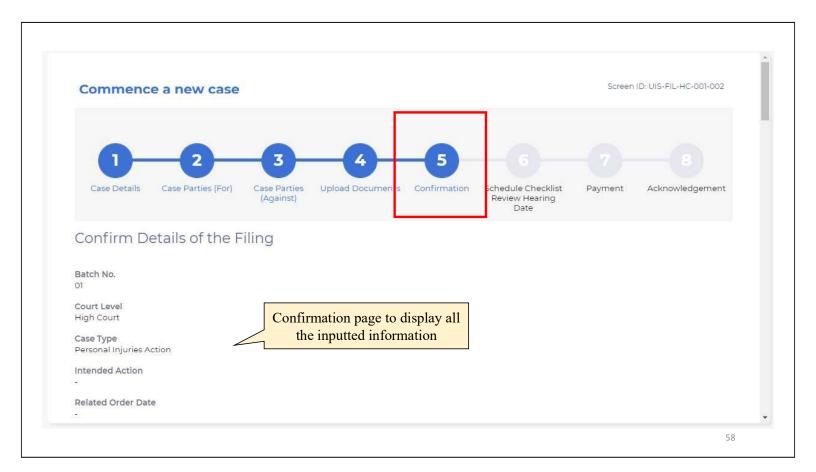


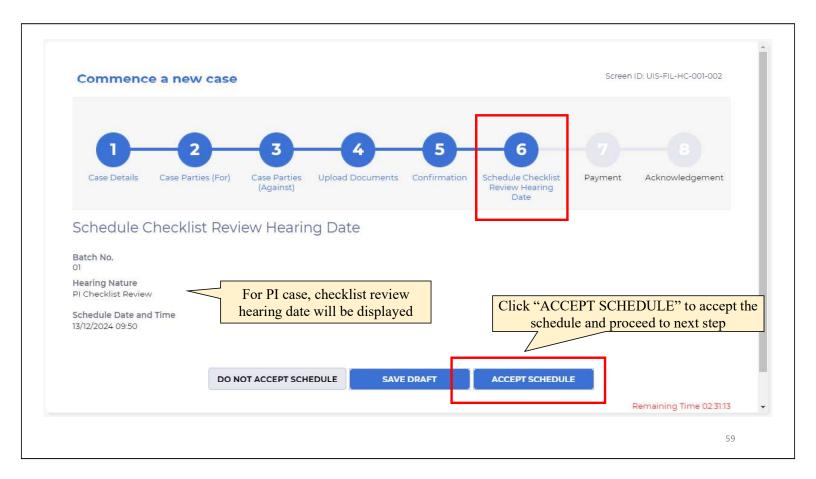




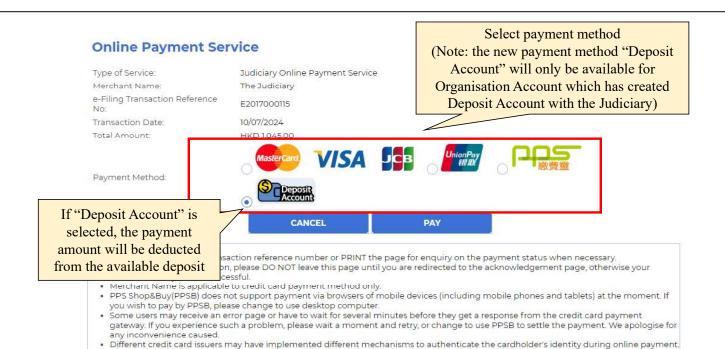










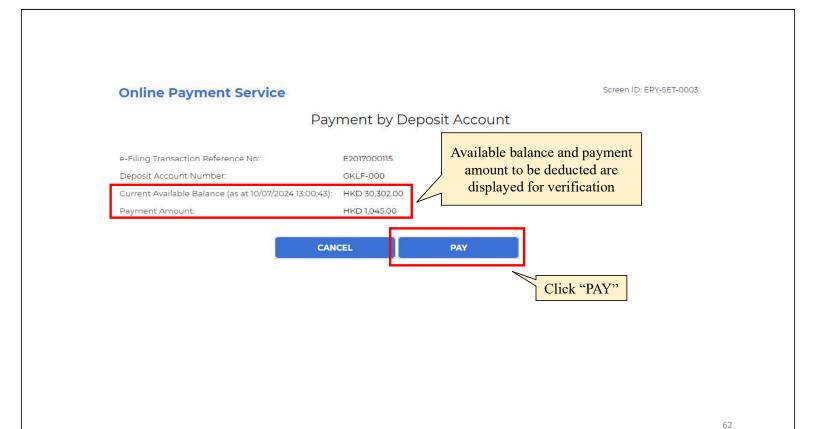


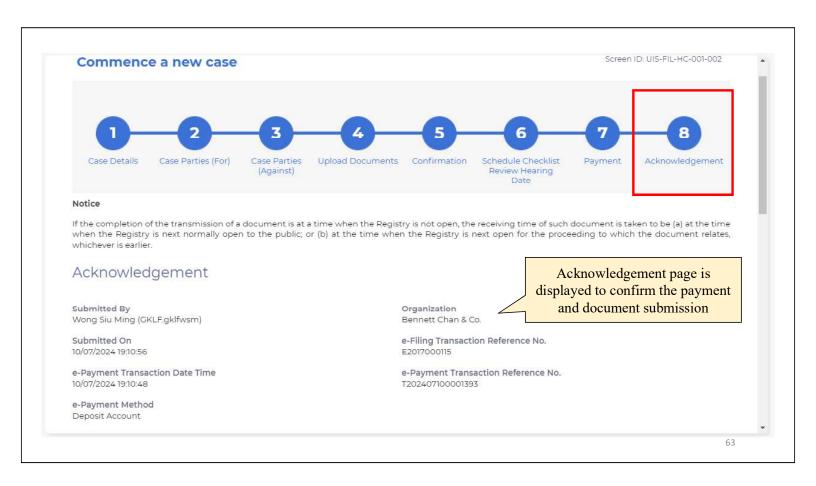
For refund of online payment, it will normally be conducted either by way of cheque, bank draft or cash to the appropriate case party or legal representative or, in the case of e-Apostille Service, to the payer/applicant.

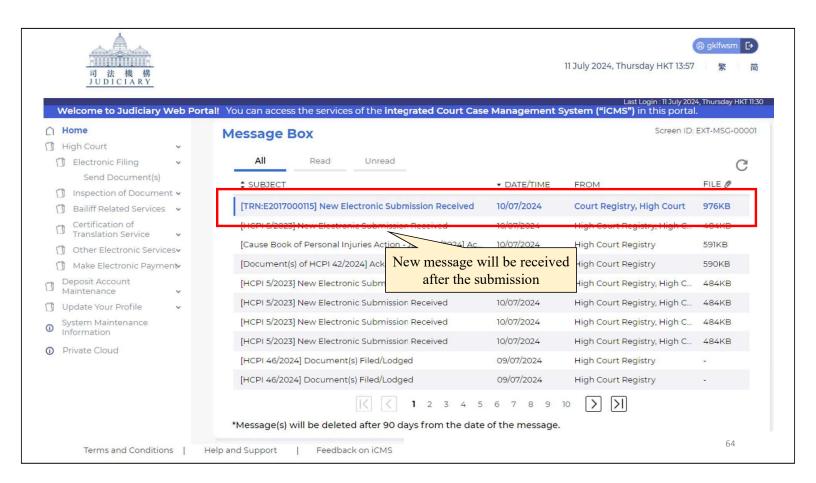
Deposit account/ sub-account balance will only be refunded upon termination of Organization Account. For refund of online payment by

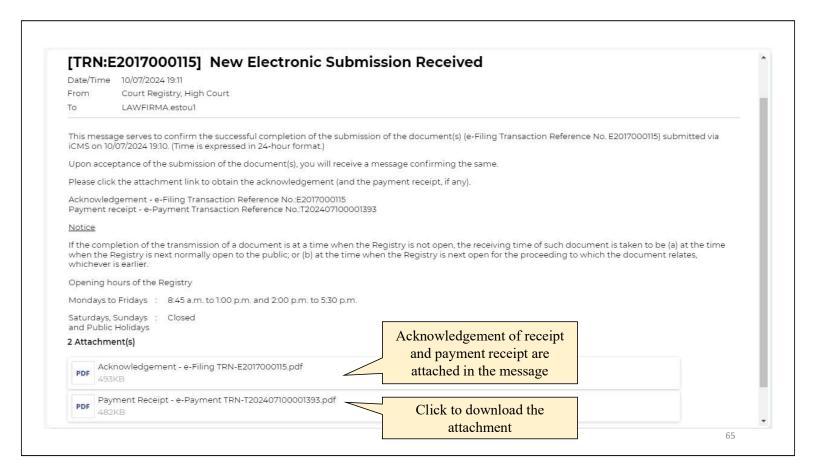
Please contact your card issuer if you want to learn more about the Verified by Visa and MasterCard SecureCode service

deduction from deposit account/ sub-account, the refund amount will be credited to the same deposit account/ sub-account



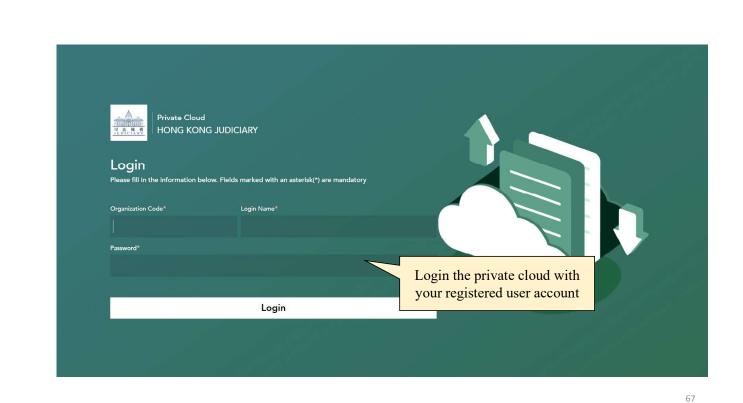


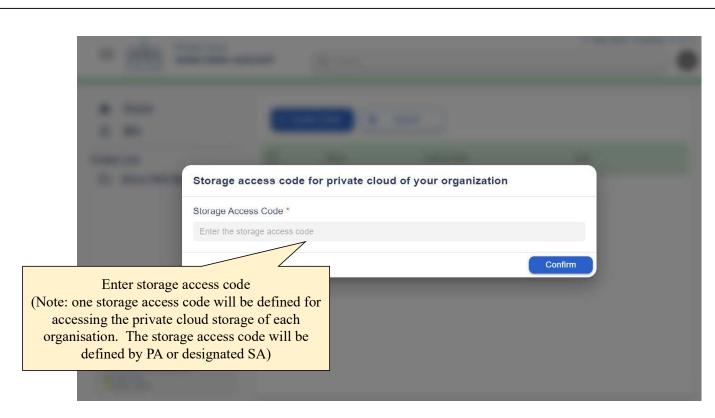


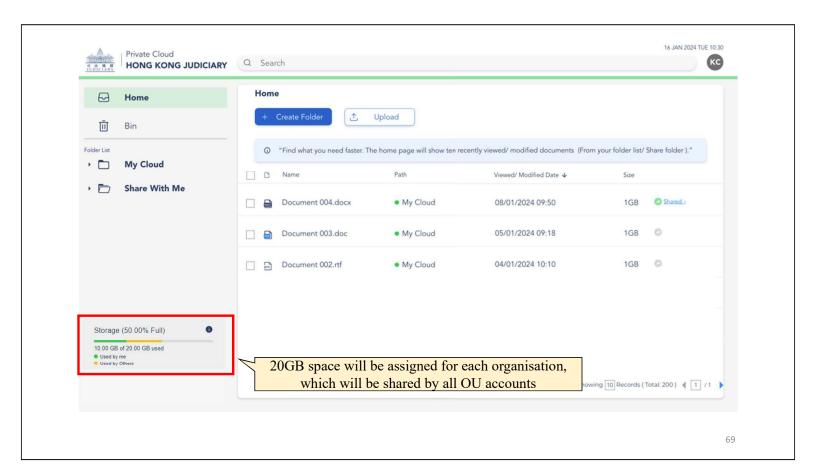


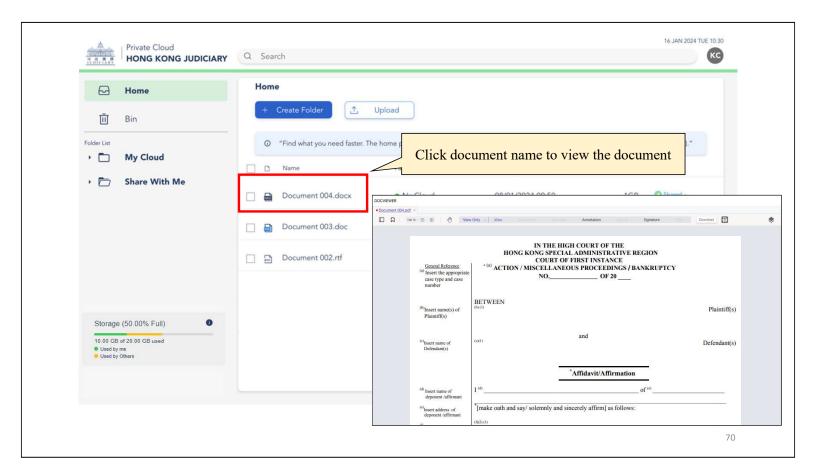
## **Upload Documents to Private Cloud for Electronic Filing**

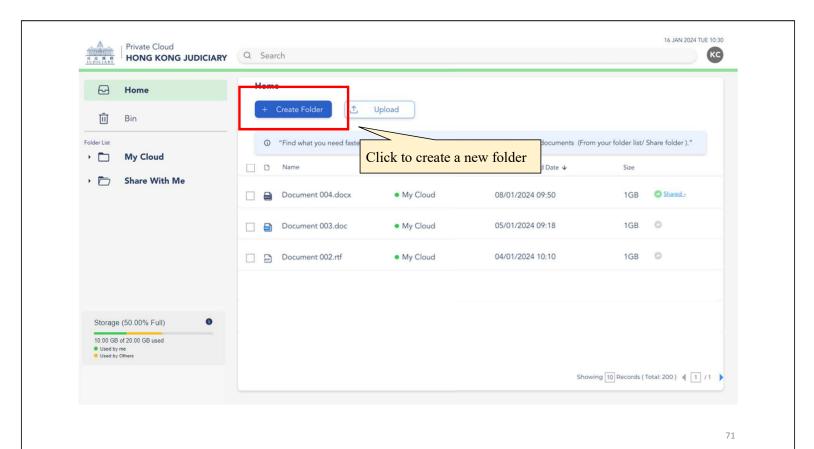
- A temporary storage area for uploading the documents which will be selected for electronic filing
- To be enabled progressively starting from the iCMS implementation for the High Court

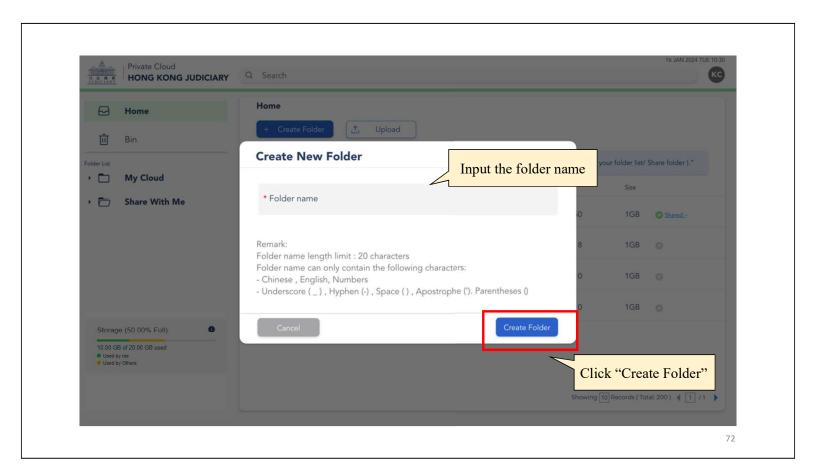


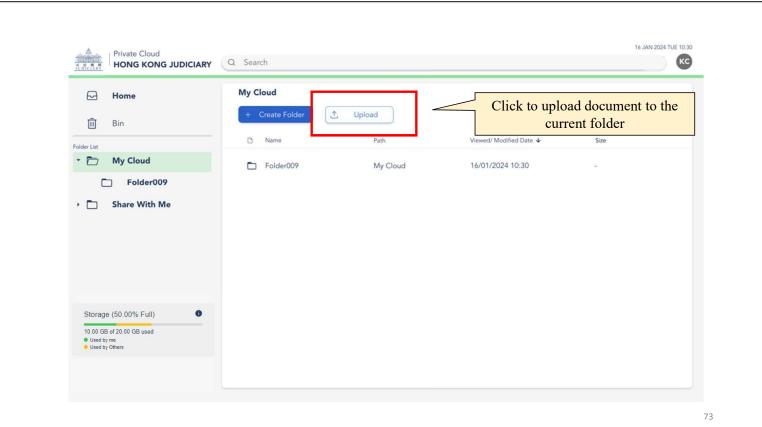


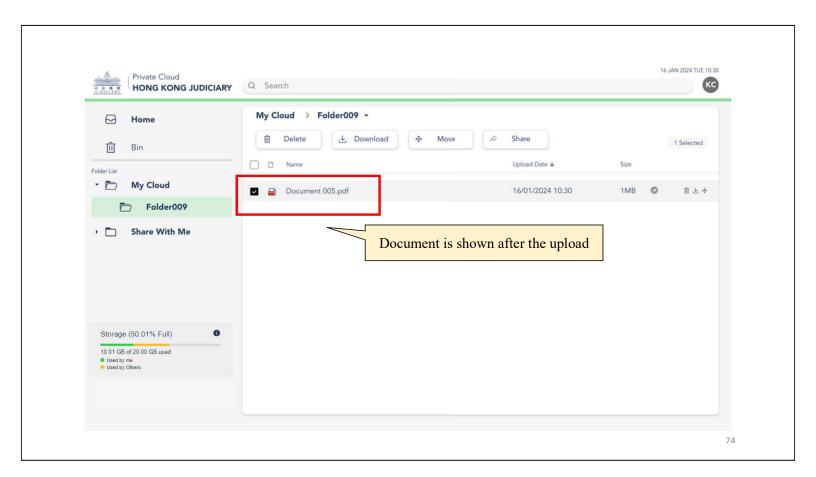


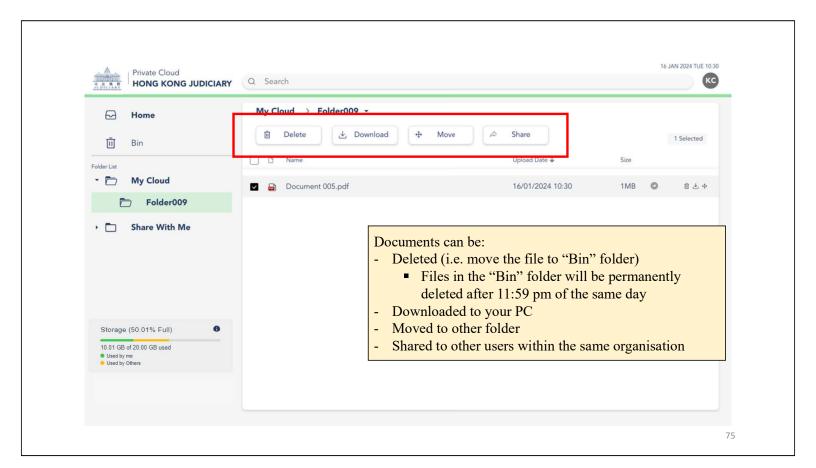


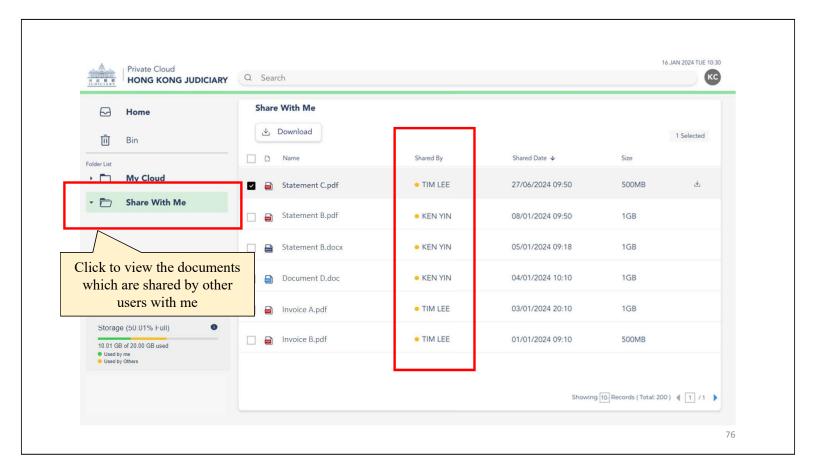




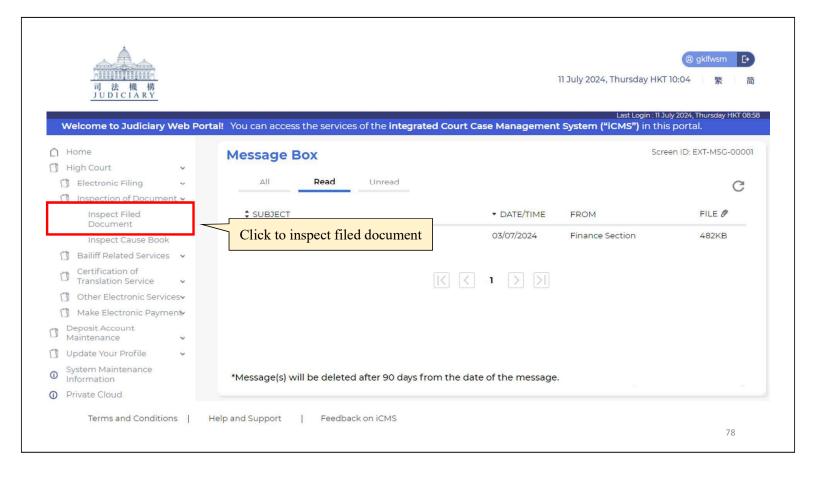


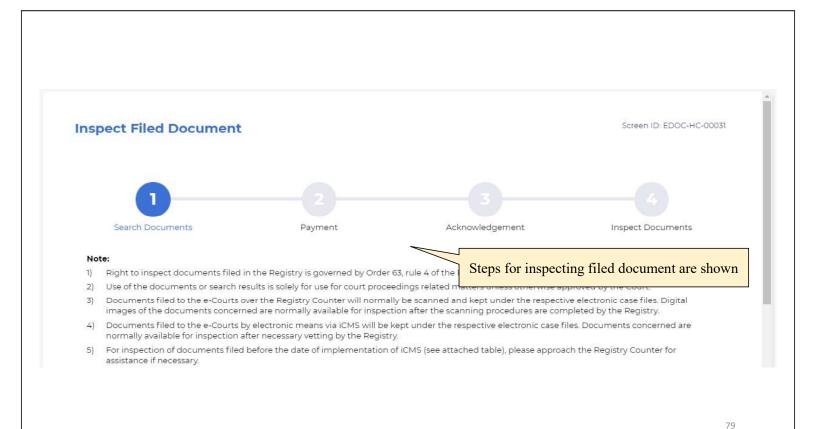




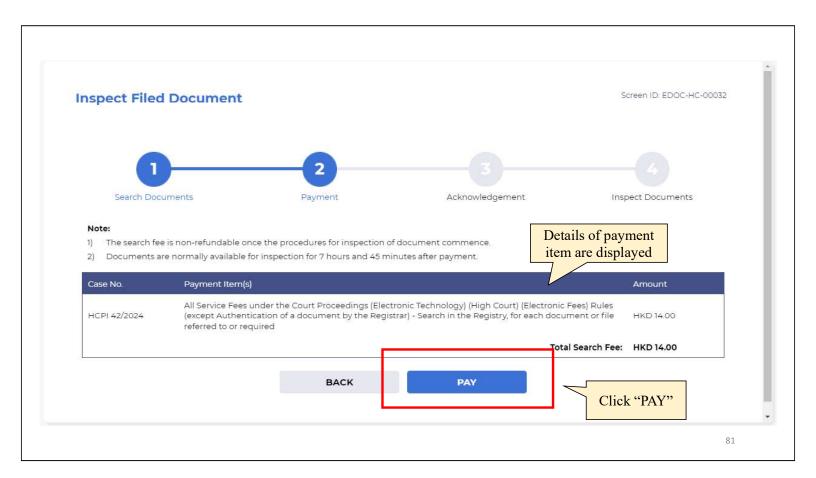


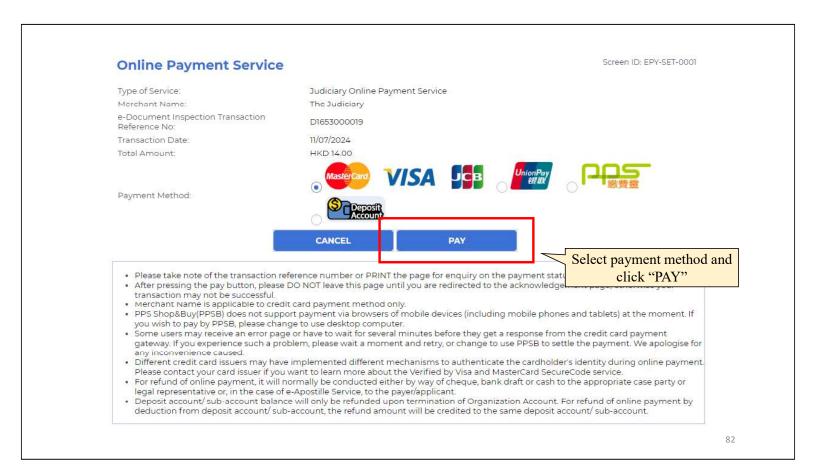
#### **Inspect Filed Document**

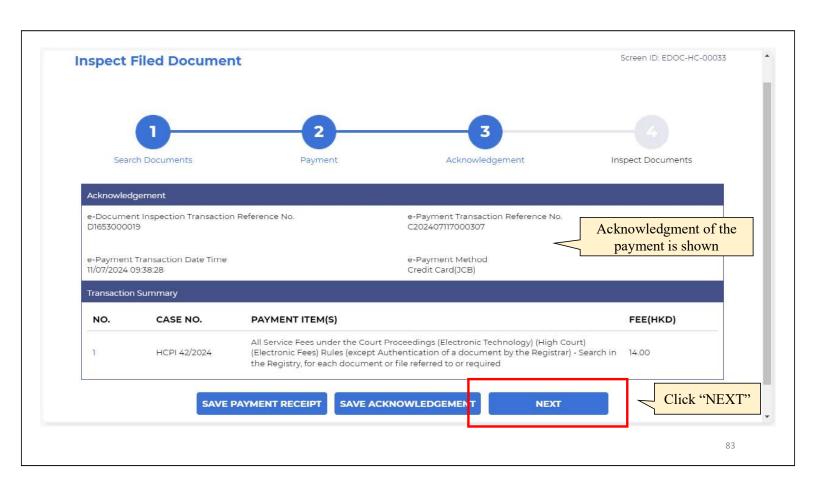


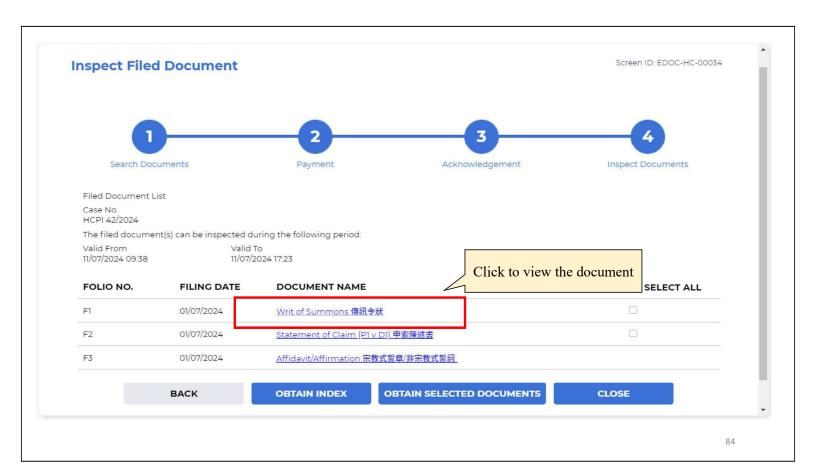


Court Level Case Type Date of implementation of iCMS 14/02/2024 Court of Appeal of the High Court Civil Appeal (CACV) Court of Appeal of the High Court Miscellaneous Proceedings (CAMP) 14/02/2024 Court of First Instance of the High Court Civil Action (HCA) 14/02/2024 14/02/2024 Court of First Instance of the High Court Commercial Action (HCCL) Court of First Instance of the High Court 
Construction and Arbitration Proceedings (HCCT) 14/02/2024 Court of First Instance of the High Court Intellectual Property Proceedings (HCIP) 14/02/2024 14/02/2024 Court of First Instance of the High Court Personal Injuries Action (HCPI) 14/02/2024 Court of First Instance of the High Court Probate Action (HCAP) - Field with (\*) is required information Input the case number and click "NEXT" Case No. \* (?) HCPI 42/2024 NEXT

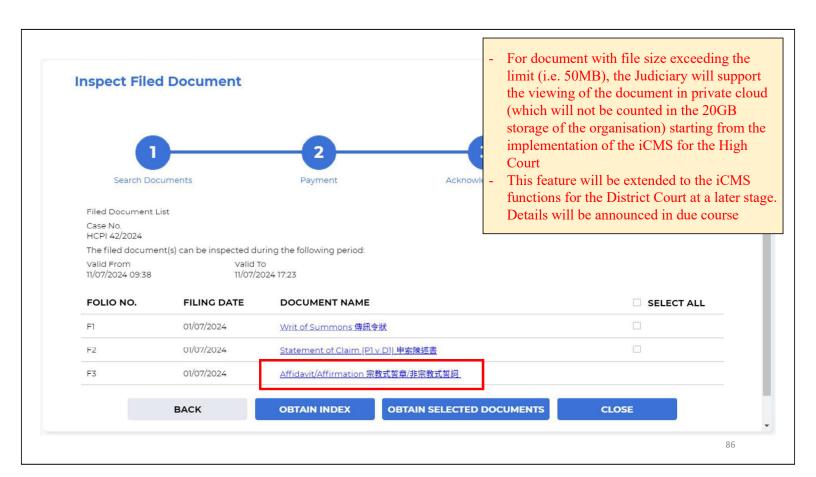


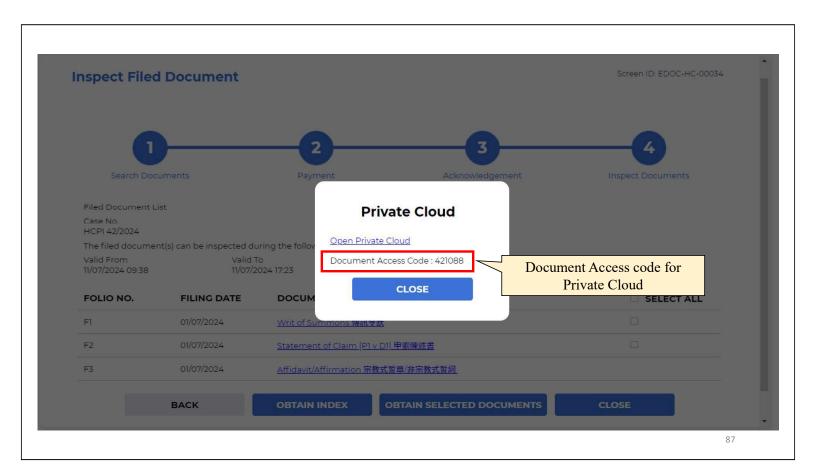




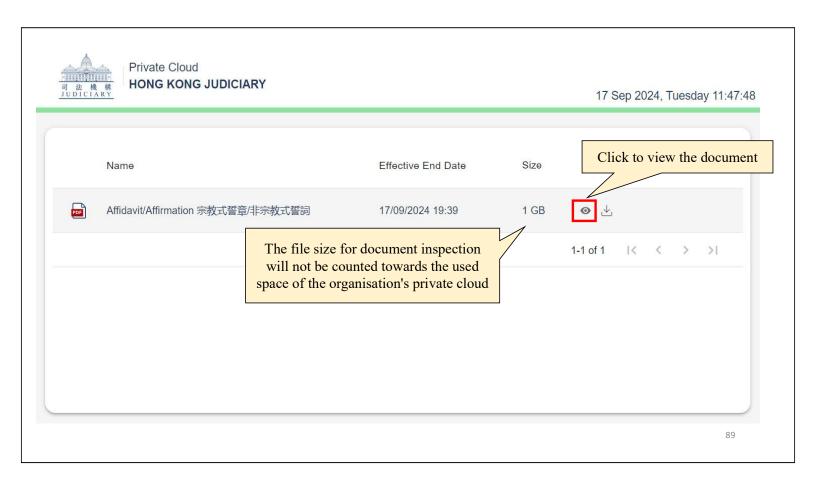






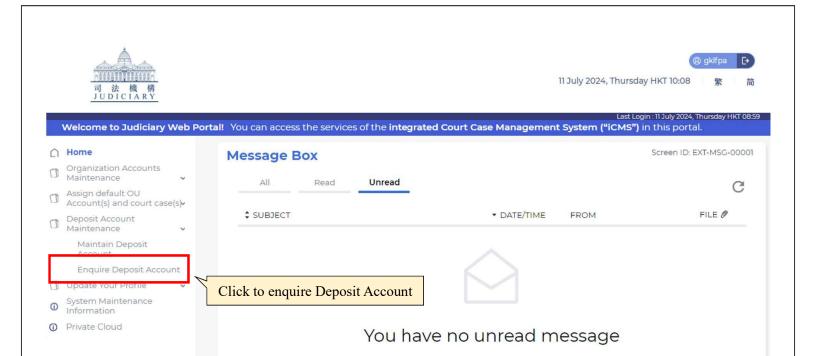






#### **Enquire Deposit Account by PA/SA**

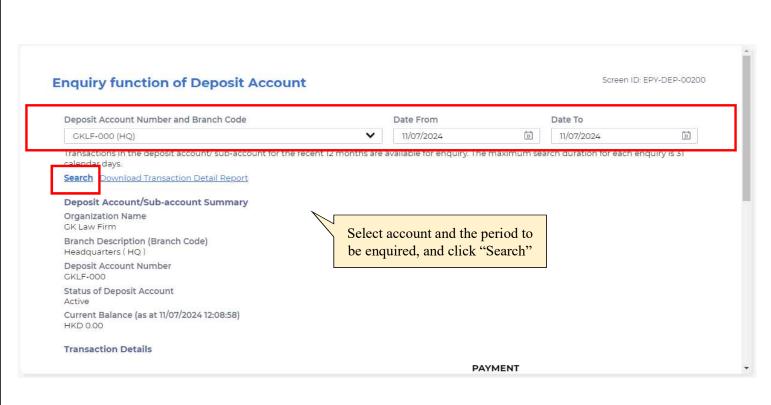
- PA/SA can enquire transactions paid by Deposit Account
- PA/SA can enquire history of deposit/transfer/top-up



\*Message(s) will be deleted after 90 days from the date of the message.

Help and Support | Feedback on iCMS

Terms and Conditions



DATE	TRANSACTIC TYPE	PARTICULA	ВУ	DEPOSIT ACCOUNT TR	PAYMENT RECEIPT NO. / PAYMENT TRANSACTION REF. NO.	CASE NO.	DEPOSIT (HKD)	WITHDRAWAL (HKD)	BALANCE (HKD)
03/07/2024	125	Balance broug forward	ght _	ä	2	026	725	×	0.00
03/07/2024	Initial Deposit	Initial Deposit Online Credit		R2010000040	01-099-2024-00100 / C2024070370005		3,000.00	2	3,000.00
03/07/2024	Transfer-out	Transfer to De Sub-account ( 001)		R2010000084	2	:128	150	1,500.00	1,500.00
	nd top-up payment is mad	de by cheque, please allow 5 wo	orking days for the cheque t		1 )			on details is dis	· ·
If initial deposit ar			orking days for the cheque t				Deposit/T	on details is dis	· ·
If initial deposit as  Deposit/Tr  Status  REQUEST			TRANSACTION TYPE	be cleared by banks.	PAYMENT METHOD		Deposit/T	ransfer/Top-up	· ·
Deposit/Tr Status REQUEST DATE	REQUEST BY	DEPOSIT ACCOUNT TRN	TRANSACTIO	be cleared by banks.	PAYMENT	History of	Deposit/T is dis	ransfer/Top-up	· ·
If initial deposit as Deposit/Tr	ansfer/Top-up F	DEPOSIT ACCOUNT TRN	TRANSACTIO TYPE	N AMOUNT (HKD)	PAYMENT METHOD	History of	Deposit/T is dis	ransfer/Top-up	· ·
finitial deposit at  Deposit/Tr  Status  REQUEST  DATE  03/07/2024	REQUEST BY  Mr. Gary Kwok (GKLF.gklfpa)	DEPOSIT ACCOUNT TRN	TRANSACTION TYPE  Initial Deposit	AMOUNT (HKD)  3,000.00	PAYMENT METHOD  Online Payment	History of	Deposit/T is dis REQUEST STATUS	ransfer/Top-up	· ·

### The End