

The Law Society of Hong Kong
Statement of Compliance with CPD and RME Requirements – Solicitors

- Notes: (1) This form must be completed and returned with your application for a 2021 practising certificate.
- (2) Please enclose copies of all correspondence from the Law Society relating to suspension / exemption from the operation of the CPD Scheme and/or RME Programme.
- (3) Both Parts 1 and 2 of this Statement must be completed and returned with your application for the 2021 practising certificate.
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Note: Owing to the pandemic, the Council has granted a general exemption from compliance with the CPD and RME requirements for 2019/2020 (“Exemption”) on the basis set out in [Circular 20-226](#). This means that legal practitioners are required to complete only one year’s CPD and RME requirements for the period from 1 November 2019 to 31 October 2021.

During the preceding practice year ended 31 October 2020,

Part 1 – Compliance with CPD requirements

Please tick this box if you have obtained the requisite CPD points by 31/10/2020

1. I confirm that I was required to accumulate _____ CPD points and I have accumulated not less than _____ CPD points, out of which _____ CPD points were obtained according to the accreditation criteria and the calculations of CPD points under the deemed accreditation system.

Please tick this box if you have **NOT** obtained the requisite CPD points by 31/10/2020 and you wish to benefit from the Exemption

The maximum number of CPD points which can be claimed under the deemed accreditation system is 3. Please refer to [guideline 10 on pages 14 and 15 of the CPD Information Package](#).

2. I hereby apply for and I understand that I am granted an exemption under rule 8 of the CPD Rules Cap. 159W to accumulate 15 CPD points by 31 October 2021 and I have accumulated not less than _____ CPD points by 31 October 2020, out of which _____ CPD points were obtained according to the accreditation criteria and the calculations of CPD points under the deemed accreditation system.

Please tick this box if you have been granted a specific CPD suspension or exemption by the Law Society other than the Exemption.

3. I was granted suspension or exemption from the operation of the CPD Scheme (the letter from the Law Society granting me the suspension or exemption is attached).

Part 2 – Compliance with RME requirements

4. I was required to complete and completed:

Please tick points 4(a), 4(b) OR 4(c) if you have completed any RME core courses within the 2019/20 RME practice year.

- (a) the RME core programme for principals;
- (b) the RME core programme for non-principals;
- (c) the RME core programme for registered foreign lawyers;

Please tick point 4(d) if you have completed the requisite 3 or 6 hours of RME electives within the 2019/20 RME practice year.

- (d) not less than 3 hours of RME electives; or not less than 6 hours of RME electives as I did not complete 3 hours of RME electives in the RME practice year immediately before the preceding RME practice year which is not an exempted practice year.

Please tick this box if you have **NOT** completed the requisite 3 or 6 hours of RME electives by 31/10/2020 and you wish to benefit from the Exemption

5. I was not required to complete the requirements to attend RME elective courses in the 2019/20 practice year by 31 October 2020 subject to the condition that I complete any outstanding RME elective requirements in the 2019/20 practice year by 31 October 2021 pursuant to a general exemption granted by the Council on 14 April 2020.

Please tick this box if you are exempted under [Rule 8\(1\) of the Rules](#) i.e. you have practised for less than 100 days or have been out of practice for 75% or more of the relevant period.

6. I was not required to complete any RME requirements as a result of the exemption in rule 8 of the Legal Practitioners (Risk Management Education) Rules Cap.159Z (“Rules”) because:
- The total period for which the Rules applied to me consisted of less than 100 days; or
 - I had been out of Hong Kong / absent from work due to illness for a continuous period which constituted 75% or more of the total period for which the Rules applied to me.

Please tick this box if you have been granted a specific exemption by the Law Society under [Rule 8A of the Rules](#).

7. I was not required to complete any RME requirements on the basis of an exemption granted to me under [rule 8A of the Rules](#). (The letter from the Law Society granting me the exemption is attached).

Please tick this box if you are an in-house solicitor and you have **NOT** practised in a Hong Kong law firm in the 2019/20 RME practice year.

8. The Rules were not applicable to me.

please tick the appropriate box

Name of Solicitor: _____

(printed name as stated on practising certificate)

The number is set out in the bottom left hand corner of your practising certificate.

Solicitor Number: _____

Signature of Solicitor: _____

E-mail address: _____

Daytime contact telephone no.: _____

Date: _____

WARNING: A false or incorrect statement may constitute professional misconduct and may lead to disciplinary actions

Personal Information Collection Statement

The personal data collected in this Statement (“the data”) will be used by the Law Society of Hong Kong (“the Society”) for the purposes of enabling the Society to investigate into the due compliance by you with the CPD and RME requirements under the Legal Practitioners Ordinance and its subsidiary legislation and other related purposes.

In notifying the Society the compliance by you with the CPD and RME requirements, it is obligatory for you to supply the Society with the data requested in this Statement. The consequence for you if you fail to supply the data is that the Society will not be able to process your application for a practising certificate.

The data will be provided to such persons within the Society whose proper business it is to have access to and assist in administering or enforcing the provisions of the Legal Practitioners Ordinance and its subsidiary legislation. The data may also be provided to such persons who may be in a position to verify the veracity and accuracy of the data provided by you and to such other persons who may help the Society in attaining the purposes above mentioned.

You have the right to request access to and correction of the data. Any such request should be addressed to the Secretary General, the Law Society of Hong Kong, 3/F, Wing On House, 71 Des Voeux Road Central, Hong Kong. The Privacy Policy Statement of the Society is available on its website at www.hklawsoc.org.hk.